



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/023,255	12/18/2001	Rex Eugene Murray	2001U012.US

CONFIRMATION NO. 3766

25959
UNIVATION TECHNOLOGIES LLC
5555 SAN FELIPE SUITE 1950
HOUSTON, TX 77056

FORMALITIES LETTER



OC000000007355370

Date Mailed: 01/24/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

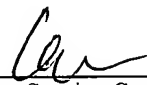
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

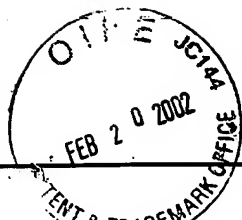
*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 SMINASS1 00000090 500589 10023255

01 FC:105 130.00 CH



Section
✓ #3

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/023,255		
	Filing Date	December 18, 2001	
	First Named Inventor	Rex Eugene Murray	
	Group Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	5	Attorney Docket Number	2001U012.US

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Preliminary Amendment / Response | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> After Final Affidavits/declaration(s) | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Certified Copy of Priority Documents) | <input type="checkbox"/> Terminal Disclaimer | <u>Declaration</u> |
| <input checked="" type="checkbox"/> Response to Missing Part/ Incomplete Application | <input type="checkbox"/> Request for Refund | <u>Return Postcard</u> |
| <input checked="" type="checkbox"/> Response to Missing Parts | | |

REMARKS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Lisa Kimes Jones	Registration No.	41,878
Signature			
Date	February 13, 2002		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on this date: **February 13, 2002**

Typed or printed name	Tammy L. Hodges		
Signature		Date	February 13, 2002

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Complete if Known

Application Number 70/023,255
Filing Date December 18, 2001
Inventors Rex Eugene Murray
Group Art Unit Unassigned
Examiner Name Unassigned
Attorney Docket Number 2001U012.US

Amount of Payment (\$)**130.00**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number:

50-0589

Deposit Account Name:

Univation Technologies, LLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
101 740	Utility filing fee	.00
106 330	Design filing fee	
107 510	Plant filing fee	
108 740	Reissue filing fee	
114 160	Provisional filing fee	

SUBTOTAL (1) .00

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
<input type="text"/> - 20 = <input type="text"/>	x 18	= <input type="text"/>	.00
Independent Claims <input type="text"/> - 3 = <input type="text"/>	x 84	= <input type="text"/>	.00
Multiple Dependent Claims <input type="text"/> 0	x 280.00	= <input type="text"/>	.00

Large Entity

Fee Code	Fee\$	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) .00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath	130.00
127	50	Surcharge - late provisional filing or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,280	Petition to revive - unintentional	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
148	110	Statutory disclaimer	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

130.00

SUBTOTAL (3)

Typed or Printed Name Lisa Kimes Jones

Reg. No. 41,878

Signature

Date

February 13, 2002

Deposit Account User ID